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Admitted only in Maryland Admitted only in Virginia Admitted only in Texas

Practice Limited to
 Federal Agencies

September 23, 2002

Commissioner for Patents
--- Washington, DC 20231

#4

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Received

SEP 2 3 2002

**Technology Center 2100** 

Re: U.S Utility Patent Application

Appl. No. 09/987,193; Filed: November 13, 2001

For: Method and Apparatus for a Parallel Correlator and Applications Thereof

Inventors:

Rawlins et al.

Our Ref:

1744.1200001

Sir:

Transmitted herewith for appropriate action are the following documents:

- 1. Information Disclosure Statement;
- 2. Fifty-six (56) sheets of Form PTO-1449 listing seven hundred ninety-six (796) reference documents;
- 3. One (1) copy of each referenced document;
- 4. Two Compact Discs labeled "STERNE1B" and "STERNE2B;" and
- 5. One (1) return postcard.

Commissioner for Patents September 23, 2002 Page 2

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Attorney for Applicant Registration No. 35,239

MQL/PEG:mlb Enclosures

SKGF\_DC1:56737.1

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Rawlins et al.

Appl. No. 09/987,193

Filed: November 13, 2001

For:

Method and Apparatus for a Parallel

Correlator and Applications Thereof

Art Unit: 2124

Examiner: Malzahn, David H.

Atty. Docket: 1744.1200001

Received

**Information Disclosure Statement** 

SEP 2 3 2002

Technology Center 2100

Commissioner for Patents Washington, D.C. 20231

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98.

In addition to providing hard copies of the documents as required by applicable rules, Applicants herewith provide two Compact Discs labeled "Sterne1B" and "Sterne2B" having stored thereon searchable electronic copies (in PDF format) of the documents listed on the PTO-1449. More specifically, the "Sterne1B" CD contains electronic copies of documents AA1-AR1, AA2-AR2, AA3-AR3, AA4-AR4, AA5-AR5, AA6-AR6, AA7-AR7, AA8-AR8, AA9-AR9, AA10-AR10, AA11-AR11, AA12-AR12, AA13-AL13, AN13-AR13, AA14-AI14, AN14-AR14, AA15-AI15, AN15-AR15, AA16-AI16, AN16-AR16, AA17-AI17, AN17-AR17, AA18-AI18, AN18-AR18, AA19-AI19, AN19-AR19, AA20-AI20, AN20-AR20, AA21-AI21, AN21-AR21, AA22-AI22, AN22-AR22, AA23-AI23, AN23-AR23, AA24-AI24, AN24-AR24, AA25-AI25, AN25-AR25, AA26-AI26, AN26-AR26, AA27-AI27, AN27-AR27, AA28-AI28, AN28-AR28,

AA29-AI29, AN29-AR29, AA30-AI30, AN30-AR30, AA31-AI31, AN31-AR31, AA32-AI32, AN32-AR32, AA33-AI33, AN33-AR33, AA34-AI34, AN34-AR34, AA35-AI35, AN35-AR35, AA36-AI36, AN36-AR36, AA37-AI37, AN37-AR37, AA38-AI38, AN38-AR38, AA39-AI39 and AN39-AR39, and the "Sterne2B" CD contains electronic copies of documents AA40-AI40, AA41-AI41, AA42-AI42, AA43-AI43, AA44-AI44, AA45-AI45, AA46-AB46, AM10, AJ11-AM11, AJ12-AM12, AJ13-AL13, AP50-AR50 and AN51-AP51. Documents AC46-AI46, AA47-AI47, AA48-AI48, AA49-AI49, AA50, AM13, AJ14-AM14, AJ15-AM15, AJ16-AM16, AJ17-AM17, AJ18-AM18, AJ19-AM19, AJ20, AQ51, AR51, AN52-AR52, AN53-AR53, AN54-AR54, AN55-AR55, AN56 and AO56 have not yet been scanned. The file names on the CDs correspond to the identifiers on the PTO-1449. It is noted that the CDs are being provided in addition to hard copies of the documents for the convenience of the Examiner.

Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

Applicants provide the following comments regarding the documents:

Documents AD1, AL1, AO1, AC2, AF2, AG2, AI2, AC5, AG5, AB6, AF7, AI7, AB8, AF8, AG9, AK9, AO9, AO11, AA12, AE14, AN14, AB15, AE15, AH15, AO15,

AF16, AD18, AG18, AB20, AC20, AQ20, AA22, AH22, AI23, AC24, AF26, AC30, AH31, AC32, AA33, AR33, AH34, AP35 and AO48 were included with Petitions to Make Special pleadings in co-owned related U.S. Patent Nos. 6,061,551, 6,061,555, 6,049,706 and 6,091,940.

Documents AM4, AH6, AL7, AJ9, AM9, AC17, AA20, AG20, AG21, AA24, AD24, AG24, AI31, AA32, AG34, AD36 and AQ37 were cited in searches performed at Applicants' request by the European Patent Office's Searching Authority in the above-referenced co-owned related patents.

Documents AA6, AD6, AO6, AE7, AE8, AA11, AE11, AH11, AI12, AB13, AD13, AH13, AC14, AG14, AE16, AB17, AF19, AD20, AN21, AG23, AH27, AI27, AI28, AH29, AG30, AD37, AR40, AO49 and AQ49 were suggested or identified by potential licensees.

Documents AH5, AH17, AD21, AB34, AE34, AB36, AI36 and AI38 were cited by the Examiner in the above-referenced co-owned related patents.

Documents AR21, AN22-AR22, AN23-23, AN24-AR24, AN25-AR25, AN26-AR26, AN27-AR27, AN28-AR28, AN29-AR29, AN30-AR30, AN31-AR31, AN32-AR32 and AN33-AP33 are press releases issued by assignee ParkerVision, Inc.

Documents AP6-AR6 and AN7-AP7 are copies of Declarations (including Exhibits) made by Messrs. Bultman, Cook, Holtz, Looke, Moses, Parker, and Sorrells, filed in the above-referenced co-owned related patents.

Documents AJ1, AL9, AJ10, AA19, AC25, AB30 and AF32 were cited in search reports in the corresponding foreign applications of the above-referenced co-owned related patents.

Documents AK9, AC17, AD36 and AD40 were listed in a search report issued by the International Searching Authority in PCT application serial number PCT/US00/21359, filed August 4, 2000, entitled "Wireless Local Area Network (WLAN) Using Universal Frequency Translation Technology," directed to related subject matter.

Documents AM10, AJ11, AK11 and AE40 were listed in a communication issued by the International Preliminary Examination Authority in PCT application serial number PCT/US00/01108, filed January 19, 2000, entitled "Frequency Translation and Embodiments Thereof Such as the Family Radio Service," directed to related subject matter.

Documents AI7, AJ9, AK9, AG20, AG21, AB30 and AI43 were listed in a written opinion issued by the International Preliminary Examination Authority in PCT application serial number PCT/US00/23923, filed October 18, 1999, entitled "Applications of Frequency Translation," directed to related subject matter.

Documents AA44, AL11, AM11 and AQ50 were listed in a communication issued by the International Searching Authority in PCT application serial number PCT/US00/09911, filed April 14, 2000, entitled "Method And System For Down-converting an Electromagnetic Signal, And Transforms For Same," directed to related subject matter.

Documents AB44 and AC44 were cited by an Examiner in co-pending U.S. Patent Application Serial No. 09/293,342, filed April 16, 1999, entitled "Method and System for Down-Converting Electromagnetic Signals Including Resonant Structures for Enhanced Energy Transfer," directed to related subject matter.

Documents AD44-AI44 and AA45-AD45 were cited by an Examiner in copending U.S. Patent Application Serial No. 09/261,129, filed March 3, 1999, entitled "Applications of Universal Frequency Translation," directed to related subject matter.

Documents AE45, AF45, AJ12 and AK12 were listed in a search report issued by the International Searching Authority in PCT application serial number PCT/US00/27555, filed October 6, 2000, entitled "DC Offset, Re-radiation, and I/Q Solutions Using Universal Frequency Translation Technology," directed to related subject matter.

Documents AG45, AH45, AL12 and AM12 were listed in a search report issued by the International Searching Authority in PCT application serial number PCT/US00/34771, filed January 21, 2000, entitled "Phase Comparator Using Undersampling," directed to related subject matter.

Documents AI45, AJ13-AL13 and AB46 were listed in a search report issued by the International Searching Authority in PCT application serial number PCT/US00/27281, filed October 4, 2000, entitled "Frequency Converter and Method," directed to related subject matter.

Document AA46 was cited by an Examiner in co-pending U.S. Patent Application Serial No. 09/293,580, filed April 16, 1999, entitled "Method and System for Frequency Up-Conversion with a Variety of Transmitted Configurations," directed to related subject matter.

Document AC46 was cited by an Examiner in co-pending U.S. Patent Application Serial No. 09/670,831, filed September 28, 2000, entitled "Universal Frequency

Translation, Embodiments Thereof, and a Web Site and Web Pages Directed to Same," directed to related subject matter.

Document AD46 was cited by an Examiner in co-pending U.S. Patent Application Serial No. 09/293,095, filed April 16, 1999, entitled "Method and System for Down-Converting an Electromagnetic Signal Having Optimized Switch Structures," directed to related subject matter.

Documents AD35, AE46-AI46 and AA47 were cited by an Examiner in copending U.S. Patent Application Serial No. 09/293,342, filed April 16, 1999, entitled "Method and System for Down-Converting Electromagnetic Signals Including Resonant Structures for Enhanced Energy Transfer," directed to related subject matter.

Documents AJ1, AK9-AM9, AG28, AB30, AA32, AN52 and AP55 were cited in an Examination Report in co-pending European Patent Application Serial No. 99954905.8, filed October 18, 1999, entitled "Integrated Frequency Translation and Selectivity with a Variety of Filter Embodiments," directed to related subject matter.

Documents AM13, AJ14, AK14 and AQ51 were cited in an Examination Report in co-pending Japanese Patent Application No. 2000-577,765, filed June 21, 2000, entitled "Method and System for Ensuring Reception of a Communications Signal," directed to related subject matter.

Documents AL14, AM14, AJ15 and AK15 were cited in an Examination Report in co-pending Japanese Patent Application No. 2000-577,761, filed June 20, 2000, entitled "Method and System for Frequency Up-conversion," directed to related subject matter.

Documents AL15, AM15, AJ16-AM16 and AJ17-AM17 were cited in an Examination Report in co-pending Japanese Patent Application No. 2000-577,764, filed June 21, 2000, entitled "Applications of Frequency Translation," directed to related subject matter.

Documents AJ18-AL18, AB47, AC47 and AE47-AG47 were cited by an Examiner in co-pending U.S. Patent Application Serial No. 09/489,675, filed January 24, 2000, entitled "Bar Code Scanner Using Universal Frequency Translation Technology for Up-Conversion and Down-Conversion," directed to related subject matter.

Documents AC24 and AD47 were cited by an Examiner in co-pending U.S. Patent Application Serial No. 09/376,509, filed August 18, 1999, entitled "Method and System for Ensuring Reception of a Communications Signal," directed to related subject matter.

Documents AH47, AI47, AA48-AE48 and AB49 are co-owned patents which are directed to related subject matter.

Documents AI43, AH47 and AH48 were cited by an Examiner in co-pending U.S. Patent Application Serial No. 09/293,283, filed April 16, 1999, entitled "Integrated Frequency Translation and Selectivity with a Variety of Filter Embodiments," directed to related subject matter.

Documents AK19 and AF48 were listed in a search report issued by the International Searching Authority in PCT application serial number PCT/US01/15111, filed October 5, 2001, entitled "Method and Apparatuses Relating to a Universal Platform Module and Enabled by Universal Frequency Translation Technology," directed to related subject matter.

Documents AA38 and AG48 were cited by an Examiner in co-pending U.S. Patent Application Serial No. 09/476,091, filed January 3, 2000, entitled "Image-Reject Down-Converter and Embodiments Thereof, Such as the Family Radio Service," directed to related subject matter.

Documents AI48 and AA49 were cited by an Examiner in co-pending U.S. Patent Application Serial No. 09/567,963, filed May 10, 2000, entitled "Frequency Synthesizer Using Universal Frequency Translation Technology," directed to related subject matter.

Documents AL13, AM18, AJ19 and AI45 were cited in an International Search Report in PCT Application Serial No. PCT/US00/31659, filed November 20, 2000, entitled "Phase Shifting Applications of Universal Frequency Translation," directed to related subject matter.

Documents AB48 and AC49 were cited by an Examiner in co-pending U.S. Patent Application Serial No. 09/489,675, filed January 24, 2000, entitled "Bar Code Scanner Using Universal Frequency Translation Technology for Up-Conversion and Down-Conversion," directed to related subject matter.

Documents AD49-AI49 were cited by an Examiner in co-pending U.S. Patent Application Serial No. 09/476,092, filed January 3, 2000, entitled "Analog Zero IF FM Decoder and Embodiments Thereof, Such as the Family Radio Service," directed to related subject matter.

Documents AK9, AM9, AE15, AL19, AM19, AB46 and AA50 were cited in an International Search Report in PCT Appl. No. PCT/US01/08969, filed March 22, 2001, entitled, "Integrated Frequency Translation and Selectivity with a Gain Control Functionality, and Applications Thereof," directed to related subject matter.

Documents AJ16 and AJ20 were cited by an Examiner in co-pending Japanese Patent Application Serial No. 2000-577,764, filed June 21, 2000, entitled "Applications of Universal Frequency Translation," directed to related subject matter.

It is noted that some of these documents could be classified in more than one of the above categories.

The other documents in the PTO-1449 do not fall within the above categories.

This statement should not be construed as a representation that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

Applicants have checked the appropriate boxes below.

- 1. This Information Disclosure Statement is being filed before the mailing date of
   a first Office Action on the merits. No statement or fee is required.
- □ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.
  - □ a. I hereby state that each item of information contained in this Information

    Disclosure Statement was cited in a communication from a

    foreign patent office in a counterpart foreign application not more
    than three months prior to the filing of this Information Disclosure

    Statement. 37 C.F.R. § 1.97(e)(1).
  - □ b. I hereby state that no item of information in this Information Disclosure

    Statement was cited in a communication from a foreign patent
    office in a counterpart foreign application and, to my knowledge
    after making reasonable inquiry, was known to any individual
    designated in 37 C.F.R. § 1.56(c) more than three months prior to

		the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2)
	□ c.	Attached is our check no in the amount of in payment of the
		fee under 37 C.F.R. § 1.17(p).
□ 3.	This	Information Disclosure Statement is being filed more than three months
		after the U.S. filing date and after the mailing date of a Final Rejection of
		Notice of Allowance, but before payment of the Issue Fee. A separate
		Petition to the Group Director, requesting consideration of this
		Information Disclosure Statement, is concurrently submitted herewith
		along with our Check No in the amount of \$ in payment
		of the fee under 37 C.F.R. § 1.17(i).
	□ a.	I hereby state that each item of information contained in this Information
		Disclosure Statement was cited in a communication from a
		foreign patent office in a counterpart foreign application not more
		than three months prior to the filing of this Information Disclosure
		Statement. 37 C.F.R. § 1.97(e)(1).
	□ b.	I hereby state that no item of information in this Information Disclosure
		Statement was cited in a communication from a foreign patent
		office in a counterpart foreign application and, to my knowledge
		after making reasonable inquiry, was known to any individual
		designated in 37 C.F.R. § 1.56(c) more than three months prior to
		the filing of this Information Disclosure Statement. 37 C.F.R
		§ 1.97(e)(2).
□ 4.	The d	ocument(s) was/were cited in a search report by a foreign patent office ir
		a counterpart foreign application. Submission of an English language
		version of the search report that indicates the degree of relevance found
		by the foreign office is provided in satisfaction of the requirement for a
		concise explanation of relevance. 1138 OG 37, 38.

Concise explanations of the relevance of the non-English language documents

AJ1, AK1, AJ6, AK7, AJ8-AL8, AK11-AM11, AJ12, AM13, AJ14-

⊠ 5.

- AM14, AJ15-AM15, AJ16-AM16, AJ17-AM17, AJ18-AL18, AJ20, AQ50 and AQ51 appear below:
- Document AJ1 (DE 42 37 692 C1) appears to be a receiver for a digital radio signal. The corresponding U.S. Patent No. 5,493,721 is enclosed as document AG28 on the attached PTO-1449.
- Document AK1 (EP 0 035 166 A1) appears to describe a digitized receiver. A copy of the English language abstract of document AK1 is enclosed as document AQ8 on the attached PTO-1449.
- Document AJ6 (EP 0 785 635 A1) appears to describe a method and apparatus for frequency diversity transmission using a plurality of uncorrelated carriers. A copy of the English language abstract of document AJ6 is enclosed as document AP8 on the attached PTO-1449.
- Document AK7 (FR 2 743 231 A1) is the corresponding French application of document AJ6 (EP 0 785 635 A1), which is described above.
- Document AJ8 (JP 2-39632) appears to describe a transmitter for frequency diversity. A copy of the English language abstract of document AJ8 is enclosed as document AO8 on the attached PTO-1449.
- Document AK8 (JP 2-131629) appears to describe a transmitter-receiver for frequency diversity. A copy of the English language abstract of document AK8 is enclosed as document AN8 on the attached PTO-1449.
- Document AL8 (JP 2-276351) appears to describe an FSK demodulating circuit.

  A copy of the English language abstract of document AL8 is enclosed as document AR7 on the attached PTO-1449.
- Document AK11 (FR 2245130) appears to describe a converter. A partial English language translation of document AK11 is enclosed as document AP50 on the attached PTO-1449.
- Document AL11 (DE 3541031) appears to describe a method and device for demodulating high-frequency modulated signals. An English translation of document AL11 is enclosed as document AR50 on the attached PTO-1449.

- Document AM11 (EP 0 732 803) appears to describe a procedure and device for demodulation by sampling. An English translation of document AM11 is enclosed as document AN51 on the attached PTO-1449.
- Document AJ12 (DE 19735798) appears to describe a transceiver. An English translation of document AJ12 is enclosed as document AP51 on the attached PTO-1449.
- Document AM13 (JP 56-114451) appears to describe a system for diversity radio transmission. The corresponding U.S. Patent No. 4,363,132 is enclosed as document AF8 on the attached PTO-1449.
- Document AJ14 (JP 8-32556) appears to describe a data transmitter-receiver. A copy of the English language abstract of document AJ14 is enclosed as document AO52 on the attached PTO-1449.
- Document AK14 (JP 8-139524) appears to describe a frequency converting circuit and radio communication device. A copy of the English language abstract of document AK14 is enclosed as document AP52 on the attached PTO-1449.
- Document AL14 (JP 59-144249) appears to describe a pulse signal transmission system. A copy of the English language abstract of document AL14 is enclosed as document AQ52 on the attached PTO-1449.
- Document AM14 (JP 63-54002) appears to describe a microwave burst signal generator which incorporates a FET frequency multiplier. A copy of the English language abstract of document AM14 is enclosed as document AR52 on the attached PTO-1449.
- Document AJ15 (JP 6-237276) appears to describe a quadrature modulator. A copy of the English language abstract of document AJ15 is enclosed as document AN53 on the attached PTO-1449.
- Document AK15 (JP 8-23359) appears to describe a digital quadrature modulation device. A copy of the English language abstract of document AK15 is enclosed as document AO53 on the attached PTO-1449.

- Document AL15 (JP 47-2314) appears to describe a demodulator. An English language translation of document AL15 is enclosed as document AP53 on the attached PTO-1449.
- Document AM15 (JP 58-7903) appears to describe a switched capacitor modulator. A partial English language translation of document AM15 is enclosed as document AQ53 on the attached PTO-1449.
- Document AJ16 (JP 58-133004) appears to describe an amplitude detector. A copy of the English language abstract of document AJ16 is enclosed as document AR53 on the attached PTO-1449.
- Document AK16 (JP 60-58705) appears to describe a frequency converting circuit. A copy of the English language abstract of document AK16 is enclosed as document AN54 on the attached PTO-1449.
- Document AL16 (JP 4-123614) appears to describe a level converting circuit. A copy of the English language abstract of document AL16 is enclosed as document AO54 on the attached PTO-1449.
- Document AM16 (JP 4-127601) appears to describe a frequency conversion circuit. A copy of the English language abstract of document AM16 is enclosed as document AP54 on the attached PTO-1449.
- Document AJ17 (JP 5-175730) appears to describe a time division direct receiver. A copy of the English language abstract of document AJ17 is enclosed as document AQ54 on the attached PTO-1449.
- Document AK17 (JP 5-175734) appears to describe an FM demodulator. A copy of the English language abstract of document AK17 is enclosed as document AR54 on the attached PTO-1449.
- Document AL17 (JP 7-154344) appears to describe a receiver for receiving modulated carrier signals and an IQ mixer/demodulator using it's receiving constitution. A copy of the English language abstract of document AL17 is enclosed as document AN55 on the attached PTO-1449.

- Document AM17 (JP 7-307620) appears to describe a bottom detection circuit.

  A copy of the English language abstract of document AM17 is enclosed as document AO55 on the attached PTO-1449.
- Document AJ18 (JP 55-66057) appears to describe a bar-code detection circuit.

  A copy of the English language abstract of document AJ18 is enclosed as document AQ55 on the attached PTO-1449.
- Document AK18 (JP 63-65587) appears to describe a wireless light pen device.

  A copy of the English language abstract of document AK18 is enclosed as document AR55 on the attached PTO-1449.
- Document AL18 (JP 63-153691) appears to describe a data transfer for a semiconductor data carrier system. A copy of the English language abstract of document AL18 is enclosed as document AN56 on the attached PTO-1449.
- Document AJ20 (JP 60-130203) appears to describe a frequency converter. An English language translation of document AJ20 is enclosed as document AO56 on the attached PTO-1449.
- Document AQ50 (Fest *et al.*) appears to discuss analog-digital converters. An English translation of document AQ50 is enclosed as document AO51 on the attached PTO-1449.
- Document AQ51 (Miki *et al.*) appears to describe modulation systems. A partial English-language translation of document AQ51 is enclosed as document AR51 on the attached PTO-1449.
- □ 6. Copies of the documents were submitted to the Patent Office in an IDS that complies with 37 C.F.R. § 1.98(a)-(c) in Application No. \_\_\_\_\_\_\_, filed \_\_\_\_\_\_\_, which is relied upon for an earlier filing date under 35 U.S.C. § 120. Thus, copies of the documents are not attached. 37 C.F.R. § 1.98(d).

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STARNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Michael Q. Lee

Attorney for Applicants Registration No. 35,239

Date:

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SKGF\_DC1:55614.1

FORM PTO-1449

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO. 1744.1200001	APPLICATION NO. 09/987,193
APPLICANT Rawlins <i>et al</i> .	
FILING DATE November 13, 2001	GROUP 2124

							No	vember 13, 2001		2124		
						U.S. P	ATEN	T DOCUMENTS				
EXAMINER INITIAL				CUMENT MBER	DATI	E	NAM	E	-	CLASS	SUB- CLASS	FILING DATE
		AA1	2,05	7,613	10/19	936	Gardner					
		AB1	2,24	1,078	05/19	941 Vreeland						
		AC1	2,270,385 01/1942		942 Skillman							
		AD1	2,28	3,575	05/19	942	Robe	erts				
		AE1	2,35	8,152	09/19	944	Labin et al.					
		AF1	2,41	0,350	10/19	946						
		AG1	2,45	1,430	10/19	948						
		AH1	2,46	2,069	02/19	949	Chat	terjea <i>et al.</i>				
		Al1	2,46	2,181	02/19	949	Gros	selfinger				
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EXAMINER INITIAL		AJ1 DE		CUMENT NUMI	BER DATE			COUNTRY		CLASS	SUB- CLASS	TRANSLATION
				12 37 692 C1	Z	03/1994		DE		H04B	1/26	1
				O 035 166 A1		09/1981		EP		H04B		
		AL1	EP (	) 193 899 B1 🗸		06/1990		EP		G01S	7/52	N/.
		AM1	EP 0	380 351 A2	<b>√</b>	08/1990		EP		нозн	17/04	N/.
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		AR	1	Allan, D.W., " Stability, IEEE				uency Standards," <i>Proc</i> 1966).	ceedings	Of The IEE	EE Special Is	sue on Frequency
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EXAMINER INITIAL			CUMENT MBER	DAT	E	NAM	E	c	CLASS	SUB- CLASS	FILING DATE	
	AA2	2,4	72,798	06/19	949	Fred	endall					
	AB2	2,49	97,859	02/19	950	Boug	htwood et al.					
	AC2	2,49	99,279	02/19	950	Pete	Peterson					
	AD2	2,80	02,208	08/19	957	Hobb	os					
	AE2	2,98	85,875	05/19	961	Grisdale et al. Foulkes						
	AF2	3,02	23,309	02/19	962							
	AG2	3,06	69,679	12/19	962	Swee	eney <i>et al.</i>				<u> </u>	
	AH2	3,10	04,393	09/1963		Voge	elman					
	Al2	3,1	14,106			МсМ	anus	,				
		•	_		FOREIGN	I PATI	ENT DOCUMENTS					
EXAMINER NITIAL		DO	CUMENT NUM				COUNTRY		LASS	SUB- CLASS	TRANSLATIO	
	AJ2	EP	0 380 351 A3 \	,			EP	Н	103H	17/04	N	
	AK2	EP	0 411 840 A2 N	02/1991			EP	G	01R	33/36	N.	
	AL2	EP	0 411 840 A3 v	07/1991			EP	G	01R	33/36	N.	
	AM2	EP	0 411 840 B1 '	/	10/1995		EP	G	01R	33/36	N	
			ОТНЕ	R (Inc	luding Auti	hor, Ti	tle, Date, Pertinent Pag	es, etc.)		_L	<u> </u>	
	AN	2	Allstot, D.J. e SC-13, No. 6,	t al., "MOS Switched Capacitor Ladder Filters," IEEE Journal of Solid-State Circuits, IEEE, Vol. pp. 806-814 (December 1978).								
	AO	2	Allstot, D.J. a Filtering Syste	nd Bla ems,"	ck Jr. W.C., <i>Proceedings</i>	"Tech of the	nological Design Conside I <i>EEE</i> , IEEE, Vol. 71, No	erations fo . 8, pp. 96	or Monoli 67-986 (#	ithic MOS Sw August 1983)	vitched-Capacitor	
	AP	2	Alouini, M. et Proceedings	al., "C Of the	hannel Char IEEE, IEEE,	racteria , Vol. 8	zation and Modeling for K 35, No. 6, pp. 981-997 (Ju	(a-Band V une 1997)	/ery Sma	II Aperture To	erminals,"	
	AQ	<u>2</u>	Andreyev, G.A. and Ogarev, S.A., "Phase Distortions of Keyed Millimeter-Wave Signals in the Case of Propagation in a Turbulent Atmosphere," <i>Telecommunications and Radio Engineering</i> , Scripta Technica, Vol. 43, No. 12, pp. 87-90 (December 1988).									
	AR	<u>2</u>	Antonetti, A. e Publishing Co	et al., ' empan	'Optoelectroi y, Vol. 21, N	nic Sa o. 2, p	mpling in the Picosecond p. 211-214 (May 1977).	Range,"	Optics C	ommunicatio	ns, North-Hollan	
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	AA3	3,1	18,117	01/19	964	King	et al.			· _			
	AB3	3,2	26,643	12/19	965	McN	air				<u> </u>		
	AC3	3,2	58,694	06/19	966	Shep	herd		<u> </u>				
	AD3	3,3	83,598	05/19	968	Sanders Miyagi Perreault			,				
	AE3	3,3	84,822	05/19	968								
	AF3	3,4	54,718	07/19	969								
	AG3	3,5	23,291	08/1970		Pierr	et						
	AH3	3,5	48,342	12/19	970	Maxe	<b>у</b>						
	AI3	3,5	55,428	01/19	971	Perre	eault						
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EXAMINER INITIAL		DO	CUMENT NUM	BER	DATE		COUNTRY		CLASS	SUB- CLASS	TRANSLATIO		
	АЈЗ	EP	0 423 718 A2 •	/	04/1991		EP		H04N	7/01	N.		
	АКЗ	EP	0 423 718 A3 🔪	/	08/1992		EP		H04N	7/01	N/		
	AL3	EP	0 486 095 A1 、	/	05/1992		EP		H03D	3/00	N/		
	АМЗ	EP	0 486 095 B1	✓	02/1997		EP		H03D	3/00	N/		
	!		ОТНЕ	R (Inc	cluding Auti	hor, T	tle, Date, Pertinent Pag	es, etc	:.)				
	AN	3	Austin, J. et a European Mid 1988).	al., "Do crowav	oppler Correc ve Conferenc	ction o ce, Mic	f the Telecommunication rowave Exhibitions and F	Payloa Publish	nd Oscillator ers Ltd., pp	rs in the UK 1 . 851-857 (Se	Г-SAT," <i>18<sup>th</sup></i> eptember 12 - 15,		
	AO	3	Auston, D.H., Institute of Pr	Auston, D.H., "Picosecond optoelectronic switching and gating in silicon," <i>Applied Physics Letters</i> , American Institute of Physics, Vol. 26, No. 3, pp. 101-103 (February 1, 1975).									
	AP	3	Baher, H., "Transfer Functions for Switched-Capacitor and Wave Digital Filters," <i>IEEE Transactions on Circuits and Systems</i> , IEEE Circuits and Systems Society, Vol. CAS-33, No. 11, pp. 1138-1142 (November 1986).										
	AQ	3	Baines, R., "The DSP Bottleneck," <i>IEEE Communications Magazine</i> , IEEE Communications Society, pp. 46-(May 1995).										
	AR	3					r Probabilities on Earth-S 7, pp. 296-297 (March 28.			ct to Scintillat	ion Fading,"		
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					U.S. P	ATEN	T DOCUMENTS						
EXAMINER INITIAL			CUMENT MBER	DAT	E	NAN	E		CLASS	SUB- CLASS	FILING DATE		
	AA4	3,61	7,892	11/19	971	Haw	ley et al.						
	AB4	3,62	1,402	11/19	971	Gard	lner						
	AC4	3,62	3,160	11/19	971	Giles	et al.						
	AD4	3,62	6,417	12/19	971	Gilbert Bartelink							
	AE4	3,62	9,696	12/19	971								
	AF4	3,66	2,268	05/19	972	Gans	s et al.						
	AG4	3,68	9,841	09/19	972	Bello et al.							
	AH4	3,71	4,577	01/19	973	Haye	es						
	Al4	Al4 3,717,844 02/1973		973	Barre	et et al.							
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	AJ4	EP (	512 748 A2 ·	3 A2 🗸 11/1			EP		H04N	9/64	N/A		
			07/1993		EP		H04N	9/64	N//				
			11/1998		EP		H04N	9/64	N/A				
	AM4	EP (	548 542 A1	548 542 A1 06/1993			EP		нозв	19/14	N/A		
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	AN	4	Banjo, O.P. and Vilar, E., "The Dependence of Slant Path Amplitude Scintillations on Various Meteorologic Parameters," <i>Fifth International Conference on Antennas and Propagation (ICAP 87) Part 2: Propagation</i> , pp. 277-280 (March 30 - April 2, 1987).										
	AO	4	Paths and Im	Banjo, O.P. and Vilar, E. "Measurement and Modeling of Amplitude Scintillations on Low-Elevation Earth-Space Paths and Impact on Communication Systems," <i>IEEE Transactions on Communications</i> , IEEE Communications Society, Vol. COM-34, No. 8, pp. 774-780 (August 1986).									
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	AQ	4		Basili,P. et al., "Case Study of Intense Scintillation Events on the OTS Path," IEEE Transactions on Antennas and Propagation, IEEE, Vol. 38, No. 1, pp. 107-113 (January 1990).									
	AR	4	Basili, P. et al., "Observation of High C <sup>2</sup> and Turbulent Path Length on OTS Space-Earth Link," <i>Electronics Letters</i> , IEE, Vol. 24, No. 17, pp. 1114-1116 (August 18, 1988).										
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	AA5	3,73	55,048	05/19	973	Tomsa et al. Thompson							
	AB5	<del></del>	· <del></del>	04/19						<del> </del>			
	AC5	<del></del>	<del></del>	02/19		Mac	··			<del>-</del>	<del>-</del>		
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	AF5									<del>                                     </del>			
<del></del>	AG5					Bickford Bauer				1			
	AH5			11/19		Hirat	a						
	A15	4,003,002		01/1977		Snijd	ers et al.		,				
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EXAMINER INITIAL							COUNTRY	c	LASS	SUB- CLASS	TRANSLATION		
	AJ5	EP (	0 632 288 A2 V		01/1995		EP	G	01S	13/75	N/A		
	AK5	EP (	0 632 288 A3 🗸		07/1996		EP	G	01S	13/75	N/A		
	AL5		0 696 854 A1 🗸		02/1996		EP	H	04B	1/26	N/A		
	AM5	EP (	782 275 A2 🏒	07/1997 EP H04B						7/02	N/A		
		I	OTHER	(Inc	luding Auth	nor, T	tle, Date, Pertinent Pa	ges, etc.)	<del></del>				
	AN	<u>5</u>					tmospheric Millimetre-V 21, No. 11, pp. 486-487			ations in an A	Absorption		
	AO	<u>5</u>		s." a	nnales des t		gauge integration time on Inmunications, Internation						
Burgueño, A. et al., "Long-Term Joint Statistical Analysis of Duration and Intensity of Rainfall R AP 5 Application to Microwave Communications," Fifth International Conference on Antennas and Pr 87) Part 2: Propagation, IEE, pp. 198-201 (March 30 - April 2, 1987).										Rate with Propagation (ICAP			
	AQ	<u>5</u>	Communication	Burgueño, A. et al., "Long Term Statistics of Precipitation Rate Return Periods in the Context of Microwave Communications," Sixth International Conference on Antennas and Propagation (ICAP 89) Part 2: Propagation IEE, pp. 297-301 (April 4-7, 1989).									
	AR	<u>5</u>	Burgueño, A. et al., "Spectral Analysis of 49 Years of Rainfall Rate and Relation to Fade Dynamics," <i>IEE Transactions on Communications</i> , IEEE Communications Society, Vol. 38, No. 9, pp. 1359-1366 (Septer 1990).								imics," IEEE 66 (September		

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Page 6 of 56 ATTY. DOCKET NO. APPLICATION NO. 1744.1200001 09/987,193 FORM PTO-1449 APPLICANT Rawlins et al. INFORMATION DISCLOSURE STATEMENT FILING DATE GROUP 2001 November 13, 2124 **U.S. PATENT DOCUMENTS EXAMINER** CLASS FILING DATE INITIAL DOCUMENT DATE NAME SUB-NUMBER CLASS AA6 4,013,966 03/1977 Campbell AB6 4,019,140 04/1977 Swerdlow AC6 4,035,732 07/1977 Lohrmann AD6 4,047,121 09/1977 Campbell AE6 4,066,841 01/1978 Young Huntington AF6 4,066,919 01/1978 Batz AG6 4,081,748 03/1978 AH6 12/1978 Arakelian et al. 4,130,765 Al6 12/1978 Van Gerwen et al. 4,130,806 **FOREIGN PATENT DOCUMENTS EXAMINER** INITIAL DOCUMENT NUMBER DATE COUNTRY CLASS SUB-TRANSLATION CLASS AJ6 EP 0 785 635 A1 07/1997 FP H04B 1/713 No AK6 EP 0 795 978 A2 \ 09/1997 EP H04L 5/06 N/A AL6 EP 0 837 565 A1 \/ 04/1998 ΕP H04B 1/69 N/A EP 0 862 274 A1 🗸 AM6 09/1998 FP H03M 1/06 N/A OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Catalan, C. and Vilar, E., "Approach for satellite slant path remote sensing," Electronics Letters, IEE, Vol. 34, AN <u>6</u> No. 12, pp. 1238-1240 (June 11, 1998). Chan, P. et al., "A Highly Linear 1-GHz CMOS Downconversion Mixer," European Solid State Circuits AO 6 Conference, IEEE Communication Society, pp. 210-213 (September 22-24, 1993). Copy of Declaration of Michael J. Bultman filed in patent application Ser. No. 09/176,022, which is directed to ΑP <u>6</u> related subject matter, 2 pages. Copy of Declaration of Robert W. Cook filed in patent application Ser. No. 09/176,022, which is directed to AQ <u>6</u> related subject matter, 2 pages. Copy of Declaration of Alex Holtz filed in patent application Ser. No. 09/176,022, which is directed to related AR

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EXAMINER INITIAL	NITIAL D		CUMENT MBER	DAT		NAM			CLASS	SUB- CLASS	FILING DATE		
	AA7	4,14	12,155	02/1	979	Adachi							
	AB7		70,764	10/19	979	Salz	et al.						
	AC7		04,171	05/1	980	Sutp	nin, Jr.						
	AD7		10,872	07/19		Greg							
	AE7		15,355	01/1	981	Pascoe et al. Fisher et al.				T			
	AF7	_	53,066	02/19	981								
	AG7	4,25	53,069	02/19			ek						
	AH7 4,308,614		08,614	12/19	981	Fishe	er <i>et al</i> .						
	AI7	$\rightarrow$	<del>                                     </del>		982	Kikke	ert						
					FOREIGN	PATI	NT DOCUMENTS						
EXAMINER NITIAL		DOG	DOCUMENT NUMBER		DATE		COUNTRY		CLASS	SUB- CLASS	TRANSLATION		
	AJ7	EP (	EP 0 874 499 A2		10/1998		EP		H04L	25/06	N//		
	AK7	FR:	FR 2 743 231 A1		07/1997		FR		H04B	7/12	No		
	AL7 GB 2 161 344		2 161 344 A	/ /	01/1986		GB		H04B	7/12	N//		
	АМ7	GB:	2 215 945 A	1	09/1989		GB		H04L	27/00	N//		
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	AN	7	Copy of Decl related subje				ke filed in patent appli	cation S	Ser. No. 09/17	76,022, which	is directed to		
	AO	7	Copy of Deck related subject	Copy of Declaration of Charley D. Moses, Jr. filed in patent application Ser. No. 09/176,022, which is directed to related subject matter, 2 pages.									
	11.7										-		
AP Copy of Declaration of Jeffrey L. Parker and David F. Sorrells, with attachment Exhibit 1, filed in p application Ser. No. 09/176,022, which is directed to related subject matter, 130 pages.								in patent					
	AQ	7	Dewey, R.J. and Collier, C.J., "Multi-Mode Radio Receiver," <i>Electronics Division Colloquium on Digitally Implemented Radios</i> , IEE, pp. 3/1-3/5 (October 18, 1985).										
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APPLICATION NO. ATTY. DOCKET NO. 1744.1200001 09/987,193 FORM PTO-1449 APPLICANT Rawlins et al. INFORMATION DISCLOSURE STATEMENT FILING DATE GROUP November 13, 2001 2124 **U.S. PATENT DOCUMENTS EXAMINER** DATE NAME CLASS SUB-FILING DATE INITIAL DOCUMENT NUMBER CLASS AA8 4,320,536 03/1982 Dietrich AB8 4,346,477 08/1982 Gordy AC8 4,355,401 10/1982 Ikoma et al. Owen et al. AD8 4,356,558 10/1982 AE8 4,360,867 11/1982 Gonda Collin AF8 4,363,132 12/1982 12/1982 Berger et al. AG8 4,365,217 Cosand et al. AH8 01/1983 4,370,572 AI8 4,389,579 06/1983 Stein **FOREIGN PATENT DOCUMENTS EXAMINER** INITIAL DOCUMENT NUMBER DATE COUNTRY **CLASS** SUB-TRANSLATION CLASS AJ8 JP 2-39632 📈 02/1990 JP H04B 7/12 No 05/1990 JP H04B 7/12 AK8 JP 2-131629 No AL8 JP 2-276351 V 11/1990 JP H04L 27/22 No AM8 WO 80/01633 A1 / 08/1980 PCT H04J 1/08 N/A OTHER (Including Author, Title, Date, Pertinent Pages, etc.) DIALOG File 347 (JAPIO) English Language Patent Abstract for JP 2-131629, 1 page (May 21, 1990 - Date of AN 8 publication of application). DIALOG File 347 (JAPIO) English Language Patent Abstract for JP 2-39632, 1 page (February 8, 1990 - Date of AO <u>8</u> publication of application). DIALOG File 348 (European Patents) English Language Patent Abstract for EP 0 785 635 A1, 3 pages ΑP (December 26, 1996 - Date of publication of application). DIALOG File 348 (European Patents) English Language Patent Abstract for EP 35166 A1, 2 pages (February AQ 18, 1981 - Date of publication of application). "DSO takes sampling rate to 1 Ghz," *Electronic Engineering*, Morgan Grampian Publishers, Vol. 59, No. 723, pp. AR 8 77 and 79 (March 1987). **EXAMINER** DATE CONSIDERED

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	AA10	4,50	04,803	03/1	985	Lee	et al.					
	AB10	4,5	17,519	05/1	985	Muka	aiyama					
•	AC10	4,5	17,520	05/1	985	Ogav	Ogawa					
	AD10	4,5	18,935	05/1	985	van I	Roermund	_				
	AE10	4,52	21,892	06/1	985	Vano	e et al.					
	AF10	4,56	63,773	07/19	986	Dixon, Jr. et al. Reed						
	AG10	4,57	77,157	03/19	986			_				
	AH10	4,58	83,239	04/1986		Vano	:e		<u> </u>			
	Al 10	4,59	91,736	05/1	<del></del>							
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	AK10	wo	98/00953 A1 ွ	/	01/1998		PCT		H04L	27/26	N/A	
	AL10	wo	98/24201 A1		06/1998		PCT		H04H	1/00	N/	
·	AM10	EP	0 099 265 A1	01/1984			EP		H03D	3/04	N/A	
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	AC15	4,885,587	12/1	989	Wieg	gand et al.						
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	AA21	5,21	2,827	05/19	993	Mesz	zko <i>et al.</i>				
	AB21	5,21	4,787	05/19	993	Kark	ota, Jr.				
	AC21	5,22	0,583	06/19	993	Solo	mon				
	AD21	5,22	0,680	06/19	993	Lee					
	AE21	5,22	2,144	06/19	993	Whik	ehart				
	AF21	5,23	0,097	07/19	993	Curri	e et al.				
	AG21	5,23	9,686	08/19	993	Dow	ney				
	AH21	5,24	1,561	08/19	993	Barn	ard				
	Al21	5,24	9,203	09/19	93	Lope	r				
					FOREIGN	N PATI	ENT DOCUMENTS				т
EXAMINER INITIAL		DOCUMENT NUMBER DATE					COUNTRY	CL	ASS	SUB- CLASS	TRANSLATION
	AJ21										Yes No
	AK21										Yes No
	AL21					-					Yes No
	AM21										Yes No
	_		ОТНІ	R (Inc	cluding Aut	hor, Ti	itle, Date, Pertinent Pa	iges, etc.)		<del>-</del> -	
	AN	<u>21</u> ~	Pärssinen et Theory and 7	al., "A echniq	2-GHz Subl gues, IEEE,	narmor Vol. 45	nic Sampler for Signal D 5, No. 12, 7 pages (Dece	Oownconvers ember 1997	sion," <i>IE</i> ).	EE Transact	ions on Microwave
	AO	<u>√</u> 21	Peeters, G. e Measuremen 88 (March-Ar	ts," Int	ernational J	f Statis ournal	stical Models for Clear-A of Satellite Communica	Air Scintillations, John \	on Predi <del>Viley a</del> r	iction Using ( nd Sons, Vol.	Olympus Satellite 15, No. 2, pp. 73-
	АР	<u>21</u>	Perrey, A.G. Dept. of Com	and So merce	choenwetter , pp. 1-14 (N	, H.K., ⁄lay 19	NBS Technical Note 11 80).	121: A Schot	tky Dioc	de Bridge Sal	mpling Gate, U.S.
	AQ	<u></u>	Poulton, K. e.	' <i>al.</i> , "A Decen	1-Ghz 6-bi nber 1987).	ADC	System," <i>IEEE Journal</i>	of Solid-Sta	te Circu	its, IEEE, Vo	I. SC-22, No. 6,
	AR	<u>21</u>	Press Releas (April 6, 1994	e, "Pa ).	rkervision, li	nc. Anı	nounces Fiscal 1993 Re	esults," Lippe	ert/Heils	horn and As	sociates, 2 Pages

**EXAMINER** DATE CONSIDERED

**EXAMINER**: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

FORM PTO-1449  INFORMATION DISCLOSURE STATEMENT					TY. DOCKET NO. 44.1200001			ICATION NO 87,19 <u>3</u>	O		
FORM PTO-1449  INFORMATION DISCLOSURE STATEMENT						PLICANT		-	<del>-</del>		
INI	FORMATIO	N DIS	CLOSURE STA	TEME	NT	FI	wlins et al. LING DATE vember 13, 2001		GROU 2124		· · ·
		-			U.S. P		T DOCUMENTS				
EXAMINER INITIAL			CUMENT MBER	DATI	Ę	NAM	E		CLASS	SUB- CLASS	FILING DATE
	AA22	5,25	1,218	10/19	993	Ston	e et al.				
	AB22		1,232	10/19		Nona	ami		·· <del>·</del>		
	AC22		0,970	11/19		1	y et al.			<del>-</del>	
·	AD22	+	3,194	11/19		Raga				<u> </u>	
	AE22 AF22		3,196 7,023	11/19	-	Jasp Kawa	er asaki			<del> </del>	<del></del>
	AG22	_	8,826	01/19		<del>                                     </del>	hy <i>et al.</i>		<del> </del>		
	AH22	1	2,023	01/19		Scar					
	Al22	5,28	7,516	02/19	994	Scha	ub				
					FOREIGN	I PAT	PATENT DOCUMENTS			1	
EXAMINER INITIAL		DOC	CUMENT NUM	BER	DATE		COUNTRY		CLASS	SUB- CLASS	TRANSLATION
	AJ22		-								Yes No
	AK22	ŀ									Yes No
****	AL22		·								Yes No
	AM22									Yes No	
			ОТНЕ	R (Inc	luding Auti	hor, Ti	tle, Date, Pertinent P	ages, etc.	)	•	
	AN	<u></u>	Press Releas Sales Manag	e, "Pa er," Lip	rkervision, Ir opert/Heilsho	nc. Ani orn and	nounces the Appointm d Associates, 1 Page (	ent of Mich April 7, 19	nael Baker 94).	to the New F	Position of National
	AO	22	Press Releas Associates, 2	e, "Pa Page:	rkervision's ( s (April 8, 19	Camer 994).	aman Well-Received I	By Distand	e Learning	ı Market," Lip	pert/Heilshorn and
	AP	<u>/</u> 22	Press Releas Associates, 2	e, "Pa Page:	rkervision, Ir s (April 26, 1	nc. Anı 1994).	nounces First Quarter	Financial f	Results," L	ippert/Heilsh	orn and
	AQ	<u>22</u>	Press Releas Lippert/Heilsh	e, "Pa iorn ar	rkervision, Ir nd Associate	vision, Inc. Announces The Retirement of William H. Fletcher, Chief Financial Officer ssociates, 1 Page (May 11, 1994).					nancial Officer,"
	AR	22 J	Press Releas Lippert/Heilsh	e, "Pa lorn ar	rkervision, Ir nd Associate	ision, Inc. Announces New Cameraman System II™ At Infocomm Trade Show," sociates, 3 Pages (June 9, 1994).					ade Show,"
EXAMINER								D	ATE CONS	SIDERED	

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APPLICATION NO. ATTY. DOCKET NO. 1744.1200001 09/987,193 FORM PTO-1449 APPLICANT Rawlins et al. INFORMATION DISCLOSURE STATEMENT GROUP FILING DATE November 13, 2001 2124 **U.S. PATENT DOCUMENTS EXAMINER** CLASS SUB-FILING DATE INITIAL DOCUMENT DATE NAME CLASS NUMBER 03/1994 AA23 5,293,398 Hamao et al. AB23 04/1994 Laws 5,303,417 04/1994 Rich AC23 5,307,517 AD23 05/1994 Murphy et al. 5,315,583 AE23 5,321,852 06/1994 Seong 06/1994 AF23 5,325,204 Scarpa 08/1994 AG23 5,337,014 Najle et al. 5,339,054 AH23 08/1994 Taguchi AI23 5,339,459 08/1994 Schiltz et al. **FOREIGN PATENT DOCUMENTS EXAMINER** INITIAL DOCUMENT NUMBER DATE COUNTRY **CLASS** SUB-TRANSLATION CLASS AJ23 Yes No AK23 Yes No AL23 Yes No **AM23** Yes No OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Press Release, "Parkervision, Inc. Announces Appointments to its National Sales Force," Lippert/Heilshorn and ΑN <u>23</u> Associates, 2 Pages (June 17, 1994). Press Release, "Parkervision, Inc. Announces Second Quarter and Six Months Financial Results," AO 23 Lippert/Heilshorn and Associates, 3 Pages (August 9, 1994). Press Release, "Parkervision, Inc. Announces Third Quarter and Nine Months Financial Results," <u>23</u> ΑP Lippert/Heilshorn and Associates, 3 Pages (October 28, 1994). Press Release, "Parkervision, Inc. Announces First Significant Dealer Sale of Its Cameraman® System II," AQ <u>23</u> Lippert/Heilshorn and Associates, 2 Pages (November 7, 1994). Press Release, "Parkervision, Inc. Announces Fourth Quarter and Year End Results," Lippert/Heilshorn and AR <u>23</u> Associates, 2 Pages (March 1, 1995). **EXAMINER** DATE CONSIDERED **EXAMINER**: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in

conformance and not considered. Include copy of this form with next communication to Applicant.

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APPLICATION NO. ATTY. DOCKET NO. 1744.1200001 09/987,193 FORM PTO-1449 APPLICANT Rawlins et al. INFORMATION DISCLOSURE STATEMENT GROUP FILING DATE November 13, 2001 2124 **U.S. PATENT DOCUMENTS EXAMINER CLASS** FILING DATE INITIAL DOCUMENT DATE NAME SUB-NUMBER **CLASS** AA24 10/1994 Sutterlin et al. 5,355,114 AB24 5,361,408 11/1994 Watanabe et al. 11/1994 Takagi et al. AC24 5,369,800 AD24 12/1994 Chalmers 5,375,146 Mizomoto et al. AE24 5,379,040 01/1995 AF24 01/1995 Thompson et al. 5,379,141 Takatori et al. AG24 02/1995 5,388,063 AH24 5,390,364 02/1995 Webster et al. 03/1995 Scarpa AI24 5,400,084 **FOREIGN PATENT DOCUMENTS EXAMINER INITIAL** DOCUMENT NUMBER DATE COUNTRY **CLASS** SUB-TRANSLATION CLASS AJ24 Yes Nο AK24 Yes Nο AL24 Yes Νo AM24 Yes No OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Press Release, "Parkervision, Inc. Announces Joint Product Developments With VTEL," Lippert/Heilshorn and ΑN 24 < Associates, 2 Pages (March 21, 1995). Press Release, "Parkervision, Inc. Announces First Quarter Financial Results," Lippert/Heilshorn and AO 24 Associates, 3 Pages (April 28, 1995). Press Release, "Parkervision Wins Top 100 Product Districts' Choice Award," Parkervision Marketing and AP 24 Manufacturing Headquarters, 1 Page (June 29, 1995). Press Release, "Parkervision National Sales Manager Next President of USDLA," Parkervision Marketing and <u>24</u> ~ AQ Manufacturing Headquarters, 1 Page (July 6, 1995). Press Release, "Parkervision Granted New Patent," Parkervision Marketing and Manufacturing Headquarters, 1 AR 24 Page (July 21, 1995). **EXAMINER** DATE CONSIDERED **EXAMINER**: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in

conformance and not considered. Include copy of this form with next communication to Applicant.

ATTY. DOCKET NO. 1744.1200001 APPLICATION NO. 09/987,193 APPLICANT Rawlins et al. GROUP 2124 FILING DATE November 13, 2001

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EXAMINER INITIAL	,	DOCUMENT NUMBER	DAT	E	NAN	1E		CLASS	SUB- CLASS	FILING DATE
	AA25	5,404,127	04/1	995	Lee	et al.				
	AB25	5,410,541	04/1	995	Hott	0				
	AC25	5,410,743	04/1	995	Seel	y et al.				
	AD25	5,412,352	05/1	995	Grat	nam				
	AE25	5,416,803	05/1	995	Jane	er				<u> </u>
	AF25	5,422,913	06/1	995	Wilk	inson				
	AG25	5,423,082	06/1		+	an <i>et al.</i>				<u> </u>
	AH25	5,428,638	06/1		+	i et al.				ļ
	AI25	5,428,640	06/1		Tow					
				FOREIGN	N PAT	ENT DOCUMENTS				
EXAMINER INITIAL		DOCUMENT NUM	IBER	DATE		COUNTRY		CLASS	SUB- CLASS	TRANSLATION
	AJ25				9					Yes No
	AK25									Yes No
	AL25									Yes No
	AM25									Yes No
	- I	ОТН	ER (In	cluding Aut	hor T	itle, Date, Pertinent Pa				
		Marketing ar	se, "Pa	ufacturing H	eadqu	nounces Second Quarte arters, 2 Pages (July 31 pands Its Cameraman S ages (September 22, 19	vstem	5).		
	AP					ces New Camera Contro (October 25, 1995).		nnology," Parl	kervision Mar	keting and
	AQ	25 Press Relead Parkervision	se, "Pa Marke	rkervision, Ir ting and Mar	nc. Anı nufactı	nounces Completion of viring Headquarters, 2 Pa	VTEL/I ages ((	Parkervision 0	Joint Product 995).	Line,"
	AR	Press Release Marketing an	se, "Pa d Man	rkervision, Ir ufacturing He	nc. Anı eadqua	nounces Third Quarter a arters, 2 Pages (Octobe	and Nir er 30, 1	ne Months Fir 995).	nancial Resul	ts," Parkervision
EXAMINER	<del></del>		<del></del>		<del></del> -			DATE CONS	IDERED	<u> </u>

ATTY. DOCKET NO. 1744.1200001 APPLICATION NO. 09/987,193

APPLICANT Rawlins et al.

FILING DATE GROUP 2124

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				U.S. P	ATEN	T DOCUMENTS				
EXAMINER INITIAL		DOCUMENT NUMBER	DAT	E	NAM	E		CLASS	SUB- CLASS	FILING DATE
	AA26	5,434,546	07/1	995	Palm	er				
	AB26	5,438,692	08/1	995	Moh	ndra				
	AC26	5,444,415	08/1	995	Dent	et al.				
-	AD26	5,444,416	08/1	995	Ishik	awa et al.				
	AE26	5,444,865	08/1	995		et al.				
<u> </u>	AF26	5,446,421	08/1	995	Kech	kaylo		<del></del>		
_	AG26	5,446,422	08/1	995	Matt	la et al.				
	AH26	5,448,602	09/1	995	Ohm	ori <i>et al.</i>				
<u> </u>	Al26	5,451,899	09/1	995	Lawt	on				<u> </u>
				FOREIGN	N PAT	ENT DOCUMENTS				
EXAMINER INITIAL		DOCUMENT NUM	IBER	DATE		COUNTRY		CLASS	SUB- CLASS	TRANSLATION
	AJ26									Yes No
	AK26									Yes No
	AL26									Yes No
	AM26									Yes No
<del>-</del> -		ОТН	ER (In	cluding Auti	hor, T	tle, Date, Pertinent Pag	jes, etc.	)		
	AN					raman Personal Locator uring Headquarters, 2 Pa				XV Award,"
	AO					nounces Purchase Comr arters, 1 Page (February			L Corporation	n," Parkervision
	АР	26 Press Relead	se, "Pa sturing	rkerVision, I Headquarter	nc. An s, 2 Pa	nounces Fourth Quarter ages (February 27, 1996	and Yea ).	r End Res	ults," Parken	vision Marketing
	AQ	26 Press Releat	se, "Pa s, 2 Pa	rkerVision, II ges (March	nc. Ex 7, 199	oands its Product Line," 6).	Parkervi	sion Marke	eting and Ma	nufacturing
	AR	26 Press Releas	se, "Pa turing	rkerVision Fi Headquarter	iles Pa	itents for its Research of age (March 28, 1996).	Wireles	s Technolo	ogy," Parkerv	ision Marketing
EXAMINER							DA	ATE CONS	SIDERED	

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ATTY. DOCKET NO. APPLICATION NO. 09/987,193 1744.1200001 FORM PTO-1449 APPLICANT Rawlins et al. INFORMATION DISCLOSURE STATEMENT GROUP FILING DATE November 13, 2001 2124 **U.S. PATENT DOCUMENTS EXAMINER** INITIAL FILING DATE DOCUMENT DATE NAME **CLASS** SUB-NUMBER CLASS AA27 5,454,007 09/1995 Dutta 5,454,009 **AB27** 09/1995 Fruit et al. AC27 5,463,356 10/1995 Palmer AD27 5,463,357 10/1995 Hobden AE27 5,465,071 11/1995 Kobayashi et al. Hiben et al. AF27 5,465,410 11/1995 AG27 5,465,415 11/1995 Bien AH27 5,471,162 11/1995 McEwan 5,479,120 12/1995 A127 McEwan **FOREIGN PATENT DOCUMENTS EXAMINER** INITIAL DOCUMENT NUMBER DATE COUNTRY **CLASS** SUB-TRANSLATION CLASS AJ27 Yes Nο AK27 Yes No AL27 Yes Nο **AM27** Yes No OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Press Release, "Parkervision, Inc. Announces First Significant Sale of Its Cameraman® Three-Chip System," AN <u>27</u> Parkervision Marketing and Manufacturing Headquarters, 2 pages (April 12, 1996). Press Release, "Parkervision, Inc. Introduces New Product Line For Studio Production Market," Parkervision AO <u>27</u> Marketing and Manufacturing Headquarters, 2 Pages (April 15, 1996). Press Release, "Parkervision, Inc. Announces Private Placement of 800,000 Shares," Parkervision Marketing AΡ <u>27</u> and Manufacturing Headquarters, 1 Page (April 15, 1996). ΑQ<sup>√</sup> Press Release, "Parkervision, Inc. Announces First Quarter Financial Results," Parkervision Marketing and 27 Manufacturing Headquarters, 3 Pages (April 30, 1996). Press Release, "ParkerVision's New Studio Product Wins Award," Parkervision Marketing and Manufacturing AR <u>27</u> Headquarters, 2 Pages (June 5, 1996). **EXAMINER** DATE CONSIDERED

ATTY. DOCKET NO. APPLICATION NO. 1744.1200001 09/987,193 FORM PTO-1449 APPLICANT Rawlins et al. INFORMATION DISCLOSURE STATEMENT GROUP FILING DATE 2124 November 13, 2001 **U.S. PATENT DOCUMENTS EXAMINER** INITIAL **DOCUMENT** DATE NAME **CLASS** FILING DATE SUB-NUMBER **CLASS** AA28 5.479.447 12/1995 Chow et al. **AB28** 5,483,193 01/1996 Kennedy et al. AC28 5.483.549 01/1996 Weinberg et al. AD28 5,483,691 01/1996 Heck et al. AE28 5.490.173 02/1996 Whikehart et al. AF28 5,493,581 02/1996 Young et al. AG28 5,493,721 02/1996 Reis AH28 5.495.200 02/1996 Kwan et al. A128 5,495,202 02/1996 Hsu **FOREIGN PATENT DOCUMENTS EXAMINER** INITIAL DOCUMENT NUMBER DATE COUNTRY CLASS SUB-TRANSLATION **CLASS** AJ28 Yes No AK28 Yes No AL28 Yes Nο AM28 Yes No OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Press Release, "Parkervision, Inc. Announces Second Quarter and Six Months Financial Results," Parkervision ΑN <u>28</u> Marketing and Manufacturing Headquarters, 3 Pages (August 1, 1996). Press Release, "Parkervision, Inc. Announces Third Quarter and Nine Months Financial Results," Parkervision AO 28 Marketing and Manufacturing Headquarters, 2 Pages (October 29, 1996). Press Release, "PictureTel and ParkerVision Sign Reseller Agreement," Parkervision Marketing and AP 28 Manufacturing Headquarters, 2 Pages (October 30, 1996). Press Release, "CLI and ParkerVision Bring Enhanced Ease-of-Use to Videoconferencing," CLI/Parkervision, 2 AQ <u>28</u> Pages (January 20, 1997). Press Release, "Parkervision, Inc. Announces Fourth Quarter and Year End Results," Parkervision Marketing AR <u>28</u> and Manufacturing Headquarters, 3 Pages (February 27, 1997). **EXAMINER** DATE CONSIDERED **EXAMINER**: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in

conformance and not considered. Include copy of this form with next communication to Applicant,

APPLICATION NO. ATTY. DOCKET NO. 1744.1200001 09/987,193 FORM PTO-1449 APPLICANT Rawlins et al. INFORMATION DISCLOSURE STATEMENT GROUP FILING DATE 2124 November 13, 2001 **U.S. PATENT DOCUMENTS EXAMINER** CLASS FILING DATE INITIAL DOCUMENT DATE NAME SUB-NUMBER CLASS AA29 5,495,500 02/1996 Jovanovich et al. AB29 Ohe et al. 5,499,267 03/1996 AC29 5,500,758 03/1996 Thompson et al. AD29 05/1996 Fajen et al. 5,517,688 Pinckley AE29 5,519,890 05/1996 AF29 06/1996 Longo et al. 5,523,719 AG29 5,523,726 06/1996 Kroeger et al. AH29 06/1996 McEwan 5,523,760 07/1996 Al29 5,539,770 Ishigaki **FOREIGN PATENT DOCUMENTS EXAMINER** INITIAL DOCUMENT NUMBER DATE COUNTRY CLASS SUB-TRANSLATION CLASS AJ29 Yes No AK29 Yes No AL29 Yes No **AM29** Yes No OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Press Release, "Parkervision, Inc. Announces First Quarter Financial Results," Parkervision Marketing and AN 29 Manufacturing Headquarters, 3 Pages (April 29, 1997). Press Release, "NEC and Parkervision Make Distance Learning Closer," NEC America, 2 Pages (June 18, AO 29 1997). Press Release, "Parkervision Supplies JPL with Robotic Cameras, Cameraman Shot Director for Mars Mission," AΡ 29 Parkervision Marketing and Manufacturing Headquarters, 2 pages (July 8, 1997). Press Release, "ParkerVision and IBM Join Forces to Create Wireless Computer Peripherals," Parkervision AQ 29 Marketing and Manufacturing Headquarters, 2 Pages (July 23, 1997). Press Release, "ParkerVision, Inc. Announces Second Quarter and Six Months Financial Results," Parkervision AR <u>29</u> Marketing and Manufacturing Headquarters, 3 Pages (July 31, 1997). **EXAMINER** DATE CONSIDERED

APPLICATION NO. ATTY. DOCKET NO. 1744.1200001 09/987,193 FORM PTO-1449 APPLICANT Rawlins et al. INFORMATION DISCLOSURE STATEMENT GROUP FILING DATE November 13, 2001 2124 **U.S. PATENT DOCUMENTS EXAMINER** FILING DATE INITIAL DOCUMENT DATE NAME CLASS SUB-NUMBER CLASS AA30 5,555,453 09/1996 Kajimoto et al. AB30 5,557,641 09/1996 Weinberg AC30 5,557,642 09/1996 Williams AD30 Smith et al. 5,579,341 11/1996 AE30 5,579,347 11/1996 Lindquist et al. AF30 5,584,068 12/1996 Mohindra AG30 5,592,131 01/1997 Labreche et al. **AH30** 5,602,847 02/1997 Pagano et al. AI30 5,602,868 02/1997 Wilson **FOREIGN PATENT DOCUMENTS EXAMINER** INITIAL DOCUMENT NUMBER DATE COUNTRY CLASS SUB-TRANSLATION CLASS AJ30 Yes No AK30 Yes No AL30 Yes Nο AM30 Yes Nο OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Press Release, "Parkervision, Inc. Announces Private Placement of 990,000 Shares," Parkervision Marketing ΑN 30 and Manufacturing Headquarters, 2 Pages (September 8, 1997). Press Release, "Wal-Mart Chooses Parkervision for Broadcast Production," Parkervision Marketing and AO 30 Manufacturing Headquarters, 2 Pages (October 24, 1997). Press Release, "Parkervision, Inc. Announces Third Quarter Financial Results," Parkervision Marketing and ΑP 30 Manufacturing Headquarters, 3 Pages (October 30, 1997). Press Release, "ParkerVision Announces Breakthrough in Wireless Radio Frequency Technology," Parkervision AQ 30 Marketing and Manufacturing Headquarters, 3 Pages (December 10, 1997). Press Release, "Parkervision, Inc. Announces the Appointment of Joseph F. Skovron to the Position of Vice AR 30 President, Licensing - Wireless Technologies," Parkervision Marketing and Manufacturing Headquarters, 2 Pages (January 9, 1998). **EXAMINER** DATE CONSIDERED

ATTY. DOCKET NO. APPLICATION NO. 1744.1200001 09/987,193

APPLICANT Rawlins et al.

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EXAMINER INITIAL			CUMENT MBER	DAT	E	NAM	IE		CLASS	SUB- CLASS	FILING DATE
	AA31	5,60	04,732	02/19	997	Kim	et al.				
	AB31	5,60	08,531	03/19	997	Hone	da et al.				
	AC31	5,61	10,946	03/19	997	Tana	ika <i>et al.</i>				
	AD31	RE	35,494	04/19	997	Nico	llini				
	AE31	5,61	17,451	04/19	997	Mim	ura <i>et al</i> .				
	AF31	5,61	19,538	04/19	997	Sem	pel <i>et al</i> .			4	
	AG31	5,62	21,455	04/19	997	Roge	ers et al.				
	AH31	5,63	30,227	05/19	997	Bella	et al.				
	AI31	5,64	10,415	06/19	997	Pano	lula				
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EXAMINER INITIAL		DOG	CUMENT NUM	BER	DATE		COUNTRY		CLASS	SUB- CLASS	TRANSLATIO
	AJ31										Ye N
	AK31										Ye N
	AL31				·						Ye N
	AM31		-								Ye N
	<b></b>		OTHE	R (Inc	luding Aut	hor, T	itle, Date, Pertinent Pa	ages, e	etc.)		
	AN	<u>31</u>	Press Releas Strategic Foc (January 27,	us Anı	nounced in [	nnoun Decem	ces Existing Agreement ber," Parkervision Mark	t with 1 ceting	BM Terminate and Manufact	es Compan uring Headqu	y Continues with uarters, 2 Pages
	AO	<u>31</u>	Press Releas Manufacturing	e, "Lai g Head	boratory Tes dquarters, 2	sts Ver Pages	ify Parkervision Wireles (March 3, 1998).	ss Tec	hnology," Par	kervision Ma	rketing and
	АР	<u>31</u>	Press Releas Marketing and	e, "Pa d Manı	rkervision, Ir ufacturing H	nc. Anı eadqu	nounces Fourth Quarter arters, 3 Pages (March	r and ` 5, 199	Year End Fina 98).	ncial Results	s," Parkervision
	AQ	<u>31</u>	Press Releas Manufacturing	e, "Pa g Head	rkervision A Iquarters, 2	warded Pages	d Editors' Pick of Show (April 15, 1998).	for NA	AB 98," Parker	vision Marke	iting and
	AR	31	Press Releas Manufacturing	e, "Pa g Head	rkervision A Iquarters, 3	nnound Pages	ces First Quarter Financ (May 4, 1998).	cial Re	esults," Parker	vision Marke	iting and
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ATTY. DOCKET NO. APPLICATION NO. 1744.1200001 09/987,193

APPLICANT Rawlins et al.

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EXAMINER INITIAL			CUMENT MBER	DAT	E	NAM	E		CLASS	SUB- CLASS	FILING DATE
	AA32	5,64	0,424	06/19	997	Bana	vong et al.				
	AB32	5,64	0,428	06/19	997	Abe	et al.				
	AC32	5,64	0,698	06/19	997	Sher	n et al.				
	AD32	5,64	8,985	07/19	997	Bjere	ede <i>et al</i> .				
	AE32	5,65	0,785	07/19	997	Roda	al .				
	AF32	5,66	1,424	08/19	997	Tang					
	AG32	5,66	3,878	09/19	997	Walk	er				
	AH32	5,66	3,986	09/19	997	Striff	ler				
	Al32	5,66	8,836	09/19	997	Smit	h <i>et al.</i>				
	_				FOREIGN	PAT	ENT DOCUMENTS				
EXAMINER INITIAL		DOC	CUMENT NUM	BER	DATE		COUNTRY		CLASS	SUB- CLASS	TRANSLATION
	AJ32	<u> </u>				<del></del>					Ye No
	AK32		****							<u></u>	Ye:
·	AL32										Ye:
=-	AM32										Yes No
	<del>- ,</del>		ОТНЕ	R (Inc	luding Autl	hor, Ti	tle, Date, Pertinent Pa	ges, e	tc.)		
	AN	<u>32</u>	Press Releas Marketing and	e, "Pa d Manı	rkervision 'D ufacturing Ho	IRECT	T2DATA' Introduced in Farters, 3 Pages (July 9,	Respor 1998).	se to Market	: Demand," P	arkervision
	AO	<u>32</u>	Press Releas Headquarters	e, "Pa , 2 Pa	rkervision Ex ges (July 29	kpands , 1998	s Senior Management T ).	eam,"	Parkervision	Marketing ar	nd Manufacturing
	АР	<u>32</u>	Press Releas Marketing and	e, "Pa d Manı	rkervision Ar ufacturing He	nnound eadqua	ces Second Quarter and arters, 4 Pages (July 30	d Six M , 1998	onth Financi	al Results," F	arkervision
	AQ	<u>32</u>	Press Releas Marketing and	e, "Pa d Manı	rkervision Ar ufacturing He	nound eadqua	ces Third Quarter and Narters, 3 Pages (Octobe	line Mo er 30, 1	onth Financia 998).	l Results," Pa	arkervision
	AR	32	Press Releas Marketing and	e, "Qu I Manı	estar Infocol ufacturing He	mm, Ir eadqua	ic. Invests \$5 Million in arters, 3 Pages (Decem	Parker ber 2,	vision Comm 1998).	on Stock," P	arkervision
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APPLICATION NO. ATTY. DOCKET NO. 1744.1200001 09/987,193 FORM PTO-1449 APPLICANT Rawlins et al. INFORMATION DISCLOSURE STATEMENT FILING DATE GROUP November 13, 2001 2124 **U.S. PATENT DOCUMENTS EXAMINER** INITIAL DOCUMENT DATE NAME CLASS SUB-FILING DATE NUMBER CLASS AA33 5,680,078 10/1997 Ariie **AB33** 5,680,418 10/1997 Croft et al. AC33 5,689,413 11/1997 Jaramillo et al. AD33 5,699,006 12/1997 Zele et al. AE33 5,705,955 01/1998 Freeburg et al. AF33 5,710,998 01/1998 Opas AG33 5,714,910 02/1998 Skoczen et al. **AH33** 5,715,281 02/1998 Bly et al. 02/1998 AI33 5,721,514 Crockett et al. **FOREIGN PATENT DOCUMENTS EXAMINER** INITIAL DOCUMENT NUMBER DATE COUNTRY CLASS SUB-TRANSLATION **CLASS** AJ33 Yes No **AK33** Yes No AL33 Yes No **AM33** Yes No OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Press Release, "Parkervision Adds Two New Directors," Parkervision Marketing and Manufacturing ΑN <u>33</u> Headquarters, 2 Pages (March 5, 1999). Press Release, "Parkervision Announces Fourth Quarter and Year End Financial Results," Parkervision AO 33 Marketing and Manufacturing Headquarters, 3 Pages (March 5, 1999). Press Release, "Joint Marketing Agreement Offers New Automated Production Solution," Parkervision ΑP 33 Marketing and Manufacturing Headquarters, 2 Pages (April 13, 1999). "Project COST 205: Scintillations in Earth-satellite links," Alta Frequenza: Scientific Review in Electronics, AEI, AQ <u>33</u> Vol. LIV, No. 3, pp. 209-211 (May-June, 1985). AR <u>33</u> Razavi, B., RF Microelectronics, Prentice-Hall, pp. 147-149 (1998). **EXAMINER** DATE CONSIDERED

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APPLICANT Rawlins et al.

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	AA34	5,72	4,002	03/19	998	Hulio	:k				
	AB34	5,72	4,653	03/19	998	Bake	er et al.				
	AC34	5,72	9,577	03/19	998	Cher	1				
	AD34	5,72	9,829	03/19	998	Talw	ar et al.				
	AE34	5,73	2,333	03/19	998	Cox	et al.				
-	AF34	5,73	6,895	04/19	998	Yu e	t al.				
	AG34	5,73	7,035	04/19	998	Rotz	oll				
	AH34	1	2,189	04/19	998	Yosh	ida et al.			Ì	
	Al34	5,74	8,683	05/19	998	Smit	h et al.				
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	AN	<u>34</u>	Reeves, R.J.I Brothers Limi	D., "Th ted, V	ne Recording ol. 31, No. 31	and 0	Collocation of Waveforms 130-137 (March 1959).	s (Parl	t 1)," Electroi	nic Engineeri	<i>ng</i> , Morgan
	AO	<u>34</u>	Reeves, R.J.I Brothers Limi	D., "Th ted, V	ne Recording ol. 31, No. 37	and 0 74, pp.	Collocation of Waveform 204-212 (April 1959).	s (Parl	t 2)," <i>Electroi</i>	nic Engineeri	ing, Morgan
	АР	<u>34</u>	Rein, H.M. an Transistors,"	id Zah <i>Electr</i> o	n, M., "Subn onics Letters	anose , IEE,	cond-Pulse Generator w Vol. 11, No. 1, pp. 21-23	vith Va 3 (Janu	riable Pulsev uary 9, 1975)	vidth Using A	valanche
	AQ	<u>34</u>	Riad, S.M. an	d Nah Interna	man, N.S., " ational Micro	Model wave	ing of the Feed-through Symposium Digest, IEEE	Wideb ∃, pp. :	and (DC to 1 267-269 (Jur	2.4 Ghz) Sa ie 27-29, 197	mpling-Head," '8).
	AR	34	Rizzoli, V. et a	al., "Co neory a	omputer-Aide and Techniqu	ed Noi ues, IE	se Analysis of MESFET EE, Vol. 37, No. 9, pp. ′	and H 1401-1	EMT Mixers 410 (Septen	" <i>IEEE Trans</i> ber 1989).	sactions on
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APPLICANT Rawlins et al.

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	AA36	5,793,801	08/1	998	Fertr	ner				
	AB36	5,793,818	08/1	998	Clay	don <i>et al</i> .				
	AC36	5,802,463	09/1	998	Zuck	kerman				
	AD36	5,809,060	09/1	998	Cafa	rella et al.				
	AE36	5,818,582	10/1	998	Fern	andez et al.				
	AF36	5,825,254	10/1	998	Lee					
	AG36	5,834,985	11/1	998	Sund	degård				
	AH36	5,864,754	01/1	999	Hotte					
	AI36	5,881,375	03/1	999	Bono	is				
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	AJ36									Yes No
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	AN	"Sampling Loops Lock Sources to 23 Ghz," <i>Microwaves &amp; RF</i> , Penton Publishing, p. 212 (Septen								
	AO	Sasikuma Vol. 71, N	ar, M. <i>et a</i> Io. 8, pp.	al., "Active Co 1008-1009 (A	ompens August	sation in the Switched-( : 1983).	Capaci	tor Biquad," F	²roceedings c	of the IEEE, IEEE,
	AP			s MESFET S pp. 5-7 (197		e and Hold Switch," Fifti	h Euroj	pean Solid St	ate Circuits C	Conference-
	AQ	36 Shen, D.I	⊣. et al., " cuits, IEEl	A 900-MHZ I E Solid-State	RF Fro	nt-End with Integrated its Council, Vol. 31, No.	Discret	te-Time Filter p. 1945-1954	ing," <i>IEEE Jo</i> (December 1	ournal of Solid- 996).
	AR	36 Shen, X.I. path," Ra	D. and Vila	ar, E., "Anom	nalous n Geop	transhorizon propagation hysical Union, Vol. 30,	on and No. 5,	meteorologic pp. 1467-147	al processes 9 (Septembe	of a multilink er-October 1995).
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	AA37	5,892	,380	04/19	999	Quis	t				
	AB37	5,894	,239	04/19	999	Bona	accio <i>et al.</i>				
	AC37	5,896	,562	04/19	999	Hein	onen				
	AD37	5,900	,747	05/19	999	Brau	ns				
	AE37	5,901	,054	05/19	999	Leu	et al.				
	AF37	5,901	,187	05/19	999	linun	na				
	AG37	5,901	344	05/19	999	Opas	<b></b>				
	AH37	5,901	,347	05/19	999	Char	nbers <i>et al</i> .				<u> </u>
	AI37	5,901	,348	05/19	999	Bang	et al.				
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	AL37										Yes No
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	AN						Behaviour of Radio Char , Vol. 29, No. 17, pp. 15				pagation
	AO	37	of the parabol	ic Equ	ation and R	ay Tra	pherical Diffraction and cing Methods," <i>IEE Coll</i> ove propagation, IEE, pp	oauium (	on Commo	n modelina te	on Path with aid chniques for
	АР	37	Shen, X. and Electronics Le	Vilar, l etters,	E., "Path los IEE, Vol. 32	s statis , No. 3	stics and mechanisms o b, pp. 259-261 (February	f transho 1, 1996	orizon prop 3).	agation over	a sea path,"
	AQ	37	Shen, D. et al Circuits Confe	., "A 9 erence	00 MHZ Inte	egrated 39, pp	Discrete-Time Filtering . 54-55 and 417 (Februa	RF Froi ary 1996	nt-End," <i>IE</i> . 5).	EE Internatio	nal Solid State
	AR	<u>37</u>   .	Spillard, C. <i>et</i> S <i>ixth Internati</i> April 4-7, 198	onal C	G-Band Trope Conference of	ospher	ic Transhorizon Propaga ennas and Propagation (	ation Un	der Differin 9) Part 2: P	g Meteorolog ropagation, II	gical Conditions," EE, pp. 451-455
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Page 38 of 56 ATTY. DOCKET NO. APPLICATION NO. 1744.1200001 09/987,193 FORM PTO-1449 APPLICANT Rawlins et al. INFORMATION DISCLOSURE STATEMENT GROUP FILING DATE 2124 November 13, 2001 **U.S. PATENT DOCUMENTS EXAMINER** INITIAL DOCUMENT CLASS FILING DATE DATE NAME SUB-NUMBER CLASS **AA38** 5,901,349 05/1999 Guegnaud et al. **AB38** 5,903,178 05/1999 Miyatsuji et al. 05/1999 AC38 5,903,187 Claverie et al. AD38 Salvi et al. 5,903,196 05/1999 AE38 5,903,421 05/1999 Furutani et al. AF38 5,903,553 05/1999 Sakamoto et al. AG38 5,903,595 05/1999 Suzuki 5,903,609 **AH38** 05/1999 Kool et al. AI38 5,903,827 05/1999 Kennan et al. **FOREIGN PATENT DOCUMENTS EXAMINER** INITIAL DOCUMENT NUMBER DATE COUNTRY **CLASS** SUB-TRANSLATION **CLASS** AJ38 Yes Νo **AK38** Yes No AL38 Yes Nο

**AM38** Yes Νo OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Stafford, K.R. et al., "A Complete Monolithic Sample/Hold Amplifier," IEEE Journal of Solid-State Circuits, IEEE, ΑN 38 Vol. SC-9, No. 6, pp. 381-387 (December 1974). Staruk, W. Jr. et al., "Pushing HF Data Rates," Defense Electronics, EW Communications, Vol. 17, No. 5, pp. AO <u>38</u> 211, 213, 215, 217, 220 and 222 (May 1985). Stephenson, A.G., "Digitizing multiple RF signals requires an optimum sampling rate," Electronics, McGraw-Hill, AP 38 pp. 106-110 (March 27, 1972). Sugarman, R., "Sampling Oscilloscope for Statistically Varying Pulses," The Review of Scientific Instruments, ΑQ 38 American Institute of Physics, Vol. 28, No. 11, pp. 933-938 (November 1957). Sylvain, M., "Experimental probing of multipath microwave channels," Radio Science, American Geophysical AR <u>38</u> Union, Vol. 24, No. 2, pp. 160-178 (March-April 1989).

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	AA39	5,90	3,854	05/19	999	Abe	et al.				
	AB39	5,90	)5,449	05/19	999	Tsub	ouchi <i>et al</i> .				
	AC39	5,90	7,149	05/19	999	Marc	kini				
	AD39	5,90	7,197	05/19	999	Faul	<				
	AE39	5,91	1,116	06/19	999	Noss	switz				
	AF39	5,91	1,123	06/19	999	Shaf	fer et al.				
····	AG39	5,91	4,622	06/19	999	Inou	 e				
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	AN	<u>39</u>	Takano, T., "I (1984).	NOVE	L GaAs Pet f	Phase	Detector Operable To i	Ka Band," <i>IE</i>	EE MT-	S Digest, IE	EE, pp. 381-383
	AO	<u>39</u>		undan			ance Switched-Capacito Applications, IEEE Circle				
	АР	<u>39</u>	Tanaka, K. et Electronics, II	al., "S EEE C	Single Chip M onsumer Ele	lultisy ectroni	stem AM Stereo Decodo cs Society, Vol. CE-32,	er IC," <i>IEEE</i> No. 3, pp. 4	<i>Transa</i> 82-496	ctions on Co (August 198	nsumer 6).
	AQ	<u>39</u>	Tawfik, A.N., Electronics Le	"Ampl etters,	itude, Duratio IEE, Vol. 28	on and	l Predictability of Long I 6, pp. 571-572 (March 1	Hop Trans-H 2, 1992).	orizon >	K-band Signa	als Over the Sea,"
	AR	<u>39</u>	Tawfik, A.N. a Meteorologica of the IEE, pp	al Para	imeters," <i>Eig</i>	hth In	of Transhorizon Signal ternational Conference ril 2, 1993).	Level Streng	gth with and Pr	Localized S opagation, E	urface lectronics Division

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ATTY. DOCKET NO. APPLICATION NO. 1744.1200001 09/987,193 FORM PTO-1449 APPLICANT Rawlins et al. INFORMATION DISCLOSURE STATEMENT GROUP FILING DATE November 13, 2001 2124 **U.S. PATENT DOCUMENTS EXAMINER** CLASS INITIAL DOCUMENT DATE NAME SUB-FILING DATE NUMBER **CLASS AA40** 4,017,798 04/1977 Gordy et al. AB40 4,032,847 06/1977 Unkauf AC40 02/1981 4,253,067 Caples et al. AD40 07/1983 Hacke et al. 4,393,395 AE40 4,816,704 03/1989 Fiori, Jr. AF40 4,841,265 06/1989 Watanabe et al. AG40 4,943,974 07/1990 Motamedi AH40 5,115,409 05/1992 Stepp Al40 5,353,306 10/1994 Yamamoto **FOREIGN PATENT DOCUMENTS EXAMINER** INITIAL DOCUMENT NUMBER DATE COUNTRY CLASS SUB-TRANSLATION CLASS AJ40 Yes Nο AK40 Yes Νo AL40 Yes Nο AM40 Yes Nο OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Tawfik, A.N. and Vilar, E., "Dynamic Structure of a Transhorizon Signal at X-band Over a Sea Path," Sixth ΑN 40 International Conference on Antennas and Propagation (ICAP 89) Part 2: Propagation, IEE, pp. 446-450 (April 4-7, 1989). Tawfik, A.N. and Vilar, E., "Statistics of Duration and Intensity of Path Loss in a Microwave Transhorizon Sea-ΑO <u>40</u> Path," Electronics Letters, IEE, Vol. 26, No. 7, pp. 474-476 (March 29, 1990). Tawfik, A.N. and Vilar, E., "X-Band Transhorizon Measurements of CW Transmissions Over the Sea- Part 1: Path Loss, Duration of Events, and Their Modeling," *IEEE Transactions on Antennas and Propagation*, IEEE Antennas and Propagation Society, Vol. 41, No. 11, pp. 1491-1500 (November 1993). AP 40 Temes, G.C. and Tsividis, T., "The Special Section on Switched-Capacitor Circuits," Proceedings of the IEEE, AQ 40 IEEE, Vol. 71, No. 8, pp. 915-916 (August 1983). AR 40 Thomas, G.B., Calculus and Analytic Geometry, Third Edition, Addison-Wesley Publishing, pp. 119-133 (1960). **EXAMINER** DATE CONSIDERED EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in

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APPLICATION NO. ATTY. DOCKET NO. 09/987,193 1744.1200001 FORM PTO-1449 APPLICANT Rawlins et al. INFORMATION DISCLOSURE STATEMENT GROUP FILING DATE November 13, 2001 2124 **U.S. PATENT DOCUMENTS EXAMINER** INITIAL DOCUMENT DATE NAME **CLASS** SUB-FILING DATE CLASS NUMBER AA41 5,515,014 05/1996 Troutman 10/1996 Toth AB41 5,563,550 AC41 5,574,755 11/1996 Persico AD41 Kotidis et al. 5,604,592 02/1997 AE41 5,638,396 06/1997 Klimek Nayebi et al. AF41 10/1997 5,675,392 Ushiroku et al. AG41 5,694,096 12/1997 05/1998 AH41 5,757,870 Miya et al. Al41 5,768,323 06/1998 Kroeger et al. **FOREIGN PATENT DOCUMENTS EXAMINER** INITIAL DOCUMENT NUMBER DATE COUNTRY CLASS SUB-TRANSLATION CLASS AJ41 Yes Nο AK41 Yes No AL41 Yes No AM41 Yes No OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Tomassetti, Q., "An Unusual Microwave Mixer," 16th European Microwave Conference, Microwave Exhibitions AN 41 and Publishers, pp. 754-759 (September 8-12, 1986). Tortoli, P. et al., "Bidirectional Doppler Signal Analysis Based on a Single RF Sampling Channel," IEEE AO <u>41</u> Transactions on Ultrasonics, Ferroelectrics, and Frequency Control, IEEE Ultrasonics, Ferroelectrics, and Frequency Control Society, Vol. 41, No. 1, pp. 1-3 (January 1984). Tsividis, Y. and Antognetti, P. (Ed.), Design of MOS VLSI Circuits for Telecommunications, Prentice-Hall, p. 304 AΡ <u>41</u> (1985).Tsividis, Y., "Principles of Operation and Analysis of Switched-Capacitor Circuits," Proceedings of the IEEE, AQ 41 IEEE, Vol. 71, No. 8, pp. 926-940 (August 1983). Tsurumi, H. and Maeda, T., "Design Study on a Direct Conversion Receiver Front-End for 280 MHZ, 900 MHZ, AR 41 and 2.6 Ghz Band Radio Communication Systems," 41st IEEE Vehicular Technology Conference, IEEE Vehicular Technology Society, pp. 457-462 (May 19-22, 1991). **EXAMINER** DATE CONSIDERED

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	AA42	5,770,985	06/1998	Ushiroku <i>et al.</i>				
	AB42	5,778,022	07/1998	Walley				
	AC42	5,812,546	09/1998	Zhou et al.				
	AD42	5,818,869	10/1998	Miya et al.				
	AE42	5,844,449	12/1998	Abeno et al.				

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	AB44	4.05	1,475	09/19	977	<del>                                     </del>	pbell				
	AC44	5,95	3,642	09/19	999	Feld	keller et al.				
	AD44	4,65	3,117	03/19	987	Heck	(		-		
	AE44	5,85	9,878	01/19	999	Philli	ps et al.				
	AF44	5,89	4,496	04/19	999	Jone					
	AG44		5,278	06/19	999	Malli	ck				
	AH44	+	8,887	02/20		Harr	son <i>et al.</i>				
	Al44	1	1,691	06/20		<del>                                     </del>	ard et al.				
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	AN	<u>44</u>	Vilar, E. et al. turbulence," /	, "An e	experimental edings of the	l mm-v 25 <sup>th</sup> E	vave receiver system for uropean Microwave Conf	measuring ference, Ne	phase exus Ho	noise due to use, pp. 114	atmospheric -119 (1995).
	AO	<u>44</u>		de Dy	namics," IEE	E Tra	and Modeling of Time Inte nsactions on Communica 1).				
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ATTY. DOCKET NO. APPLICATION NO. 1744.1200001 09/987,193 FORM PTO-1449 APPLICANT Rawlins et al. INFORMATION DISCLOSURE STATEMENT GROUP FILING DATE November 13, 2001 2124 **U.S. PATENT DOCUMENTS EXAMINER CLASS** FILING DATE DOCUMENT DATE NAME SUB-INITIAL NUMBER CLASS **AA45** 6,121,819 09/2000 Traylor AB45 Vice et al. 6,144,236 11/2000 AC45 6,144,846 11/2000 Durec AD45 01/2001 6,175,728 B1 Mitama AE45 5,705,949 01/1998 Alelyunas et al. Assard et al. AF45 5,883,548 03/1999 AG45 4,484,143 11/1984 French et al. **AH45** 11/1998 Williams 5,841,324 **AI45** 4,855,894 08/1989 Asahi et al. FOREIGN PATENT DOCUMENTS **EXAMINER** INITIAL DOCUMENT NUMBER DATE COUNTRY **CLASS** SUB-TRANSLATION **CLASS** AJ45 Yes Nο AK45 Yes Nο AL45 Yes No Yes AM45 No OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Vilar, E. and Moulsley, T.J., "Comment and Reply: Probability Density Function of Amplitude Scintillations," ΑN 45 Electronics Letters, IEE, Vol. 21, No. 14, pp. 620-622 (July 4, 1985). Vilar, E. et al., "Comparison of Rainfall Rate Duration Distributions for ILE-IFE and Barcelona," Electronics AO 45 Letters, IEE, Vol. 28, No. 20, pp. 1922-1924 (September 24, 1992). Vilar, E., "Depolarization and Field Transmittances in Indoor Communications," Electronics Letters, IEE, Vol. 27, AP <u>45</u> No. 9, pp. 732-733 (April 25, 1991). Vilar, E. and Larsen, J.R., "Elevation Dependence of Amplitude Scintillations on Low Elevation Earth Space AQ Paths," Sixth International Conference on Antennas and Propagation (ICAP 89) Part 2: Propagation, IEE, pp. 45 150-154 (April 4-7, 1989). Vilar, E. *et al.*, "Experimental System and Measurements of Transhorizon Signal Levels at 11 Ghz," *18<sup>th</sup>* European Microwave Conference, Microwave Exhibitions and Publishers Ltd., pp. 429-435 (September 12 - 15, AR 45 1988). **EXAMINER** DATE CONSIDERED

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	AQ	<u>46</u>		nd," <i>El</i>	ectronics Div		Wide Band Estimates Colloquium on Radioco				
	AR	<u>46</u>	Vilar, E. and F Limitations," E 23, 1986).	aulkn Electro	er, N.D., "Ph nics Division	ase N 7 Collo	oise and Frequency St quium on Low Noise O	tability Mea Oscillators a	surement	s. Numerical esizer, IEE, 5	Techniques and pages (January

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	AD49	4,384,357	05/1	983	deBuda et al.		···			
	AE49	4,470,145	09/1	09/1984		Williams			···	
	AF49	5,600,680	02/1	997	Mish	ima <i>et al</i> .				
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	AQ	49 Weast, R.C 485 (1964).	. et al. (	Ed.), <i>Handb</i> o	ook of	Mathematical Tables, Se	econd Ec	lition, The	Chemical Ru	ibber Co., pp. 480
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	AN	<u>50</u>	Worthman, W	/., "Co	nvergence	. Agair	n," <i>RF Design</i> , Primedia, p.	102 (March 199	9).	
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	AO	<u>51</u>	Fest, Jean-P 54, 3 pages (	ierre, " Decen	The A/D Co nber 1995).	nverte (Tran:	r Revolutionizes the Rac slation of Doc. AQ50).	lio Receiver," <i>Elect</i>	ronique, JMJ	(Publisher), No.
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